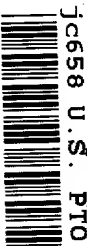


04/13/00



04-14-00

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Case Docket No. US000103

THE COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor(s):

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For: METHOD AND APPARATUS FOR TRACKING MOVING OBJECTS USING  
COMBINED VIDEO AND AUDIO INFORMATION IN VIDEO CONFERENCING  
OTHER APPLICATIONS



**ENCLOSED ARE:**

- ☒ Associate Power of Attorney;
- ☒ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
- ☐ Preliminary Amendment;
- ☒ Specification (20 Pages of Specification, Claims, & Abstract);
- ☒ Declaration and Power of Attorney:  
(2 Pages of a ☒ fully executed ☐ unsigned Declaration);
- ☒ Drawing (3 sheets of ☒ informal ☐ formal sheets);
- ☐ Certified copy of application Serial No. ;
- ☒ Other: Authorization Pursuant to 37 CFR 1.136
- ☒ Assignment to Philips Electronics North America Corporation

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - 690.00
Total Claims	23 - 20 =	3	X \$18 =	54.00
Independent Claims	3 - 3 =	0	X \$78 =	0.00
Multiple Dependent Claims, if any			\$260 =	0.00
TOTAL FILING FEE . . . . .				= \$744.00

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☐ Amend the specification by inserting before the first line the sentence: --This is a continuation-in-part of application Serial No. , filed .--.

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METHOD AND APPARATUS FOR TRACKING MOVING OBJECTS  
USING COMBINED VIDEO AND AUDIO INFORMATION IN VIDEO CONFERENCING  
AND OTHER APPLICATIONS

5 Field of the Invention

The present invention relates generally to the fields of audio and image signal processing, and more particularly to techniques for tracking moving persons or other objects of interest in video conferencing and other applications.

10

Background of the Invention

15 Detection and tracking of a person or other object of interest is an important aspect of video-camera-based systems such as video conferencing systems, video surveillance and monitoring systems, and human-machine interfaces. For example, in a video conferencing system, it is often desirable to frame the head and shoulders of a particular conference participant in the resultant output video signal.

20 A conventional boardroom-type video conferencing system will typically include a pan-tilt-zoom (PTZ) camera mounted on top of a monitor. The PTZ camera may be operated via an infrared remote control by one of the participants, that participant being designated as a de facto cameraman, or by a non-participant cameraman. The cameraman generally tries to control the pan, tilt  
25 and zoom settings of the camera so as keep the current speaker in view, and sufficiently in close-up, such that participants at the remote receiving end can see the speaker's facial expressions. When the speaker gets up, writes on a whiteboard, or points at an object, the cameraman has to follow the speaker's movements  
30 accordingly. In some cases, the cameraman may have to react to explicit commands issued by the speaker, such as "Zoom in more."

However, even for a human cameraman, it is not always easy to produce a satisfying video conference experience, as the conference is a live event without a script. The cameraman has to react to

unexpected movements or commands by the speaker, and to interruptions and short utterances of other participants often outside his field of vision. The cameraman's reactions to the situation largely determine the quality of the video conference experience for the remote participants, i.e., determine whether the remote participants see the correct persons on their monitor, at the correct time and with the correct zoom, and determine whether the movement of the picture is distracting, disorienting or shows excessive artifacts.

The pattern of movement of the camera can also have an effect on the local participants. For example, the local participants might attribute a "personality" to the camera, such as dominant, nervous, attentive, etc.

These and other factors make it difficult for a human cameraman to provide the requisite tracking function in a video conferencing system.

A number of techniques are known in the art for providing automated tracking of speakers or other objects in a video conferencing system. For example, U.S. Patent No. 6,005,610 issued December 21, 1999 to S. Pingali describes an audio-visual object localization and tracking system in which audio and video information are combined to implement a tracking function. Another audio-video tracking system known in the art is the PictureTel SwiftSite-II set-top video conferencing system, as described in A.W. Davis, "Image Recognition and Video Conferencing: A New Role for Vision in Interactive Media?," Advanced Imaging, pp. 30-32, February 2000. A problem with these and other conventional techniques is that they generally fail to combine the audio and video information in a manner which avoids unnecessary or awkward camera movements to the greatest extent possible.

A need therefore exists for improved techniques for efficiently automating the tracking process in video conferencing and other applications, so as to free a participant or other human

cameraman from this task, without degrading the quality of the resulting video conference.

### Summary of the Invention

5       The invention provides methods and apparatus for combined audio-video tracking of persons or other objects of interest in a video conferencing system or other application.

10       In accordance with an illustrative embodiment of the invention, a video processing system includes an audio-video tracking system for controlling the settings of a pan-tilt-zoom camera. The audio-video tracking system comprises an audio locator, a video locator, and a set of rules for determining the manner in which settings of a camera are adjusted based on tracking outputs of the audio locator and video locator.

15       In the illustrative embodiment, the set of rules may be configured such that only the audio locator output is used to adjust the camera settings if tracking outputs of the audio locator and video locator are not sufficiently in agreement as to the location of an object of interest in a current measurement interval. For example, in such a situation, the audio locator  
20       output alone may be used to direct the camera to a new speaker in a video conference. An additional check may be performed to ensure that a confidence indicator generated by the audio locator is above a specified threshold before using the audio locator tracking  
25       output to adjust the camera settings.

30       If the audio locator and video locator tracking outputs are sufficiently close, e.g., indicating a directionality measure within 5 degrees of one another, the system determines if a confidence indicator generated by the video locator is above a specified threshold. If the video locator confidence indicator is above the specified threshold, the video locator tracking output may be used to adjust the camera settings. For example, the camera may be zoomed in such that the face of a video conference

participant is centered in and occupies a designated portion of a video frame generated by the camera.

1 5 The set of rules in accordance with the invention may also include rules for determining when not to track an object of interest based on the audio locator and video locator outputs. For example, the set of rules may specify that the camera is zoomed out by a predetermined amount, e.g., 20%, after a detected period of continued silence exceeds a first amount of time, and that the camera is zoomed out by an additional amount, e.g., to provide a group view of local video conference participants, if the detected period of continued silence exceeds a second amount of time greater than the first amount of time.

15 20 25 An audio-video tracking system in accordance with the present invention provides a number of advantages over conventional systems. For example, the system of the invention is substantially less likely than conventional systems to zoom in to irrelevant objects. It avoids the need for a local participant to control the camera manually, while also making the local participants more aware of the manner in which their actions control the direction of the camera. Participants using the system of the invention will quickly learn how to attract the attention of the camera, e.g., raising their voices, talking directly to the camera, or making small motions to encourage the camera to zoom. The invention allows an autonomously-moving camera to effectively become the moderator of the video conference.

The techniques of the invention can be used in a wide variety of video processing applications, including video-camera-based systems such as video conferencing systems, video surveillance and monitoring systems, etc.

30 These and other features and advantages of the present invention will become more apparent from the accompanying drawings and the following detailed description.

## Brief Description of the Drawings

FIG. 1 is a block diagram of a video processing system in which the present invention may be implemented.

FIG. 2 shows an example of a camera that may be utilized in the video processing system of FIG. 1.

FIG. 3 is a functional block diagram of an audio-video tracking system in accordance with an illustrative embodiment of the invention.

FIG. 4 is a flow diagram illustrating the operation of the audio-video tracking system of FIG. 3 in greater detail.

## Detailed Description of the Invention

FIG. 1 shows a video processing system 10 in accordance with an illustrative embodiment of the invention. The system 10 includes a processor 12, a memory 14, an input/output (I/O) device 15 and a controller 16, all connected to communicate over a system bus 17. The system 10 further includes a pan-tilt-zoom (PTZ) camera 18 which is coupled to the controller 16 as shown.

In the illustrative embodiment, the PTZ camera 18 is employed in a video conferencing application in which a table 20 accommodates a number of conference participants 22-1, ..., 22-k, ..., 22-N. In operation, the PTZ camera 18, as directed by the controller 16 in accordance with instructions received from the processor 12, tracks an object of interest which in this example application corresponds to a particular participant 22-k. The PTZ performs this real-time tracking function using an audio-video tracking system to be described in greater detail below in conjunction with FIGS. 3 and 4.

As shown in FIG. 1, the I/O device 15 receives a video signal from the camera 18, as well as a number of audio signals, each from a corresponding microphone. The microphones may be part of or otherwise associated with the camera 18, e.g., in a manner to be described in conjunction with FIG. 2. Numerous other types and

arrangements of connections may be used to supply video and audio signals from the camera 18 to processor 12 or other system elements for processing in accordance with the techniques of the present invention.

5        Although the invention will be illustrated in the context of a video conferencing application, it should be understood that the video processing system 10 can be used in a wide variety of other applications. For example, the portion 24 of the system 10 can be used in video surveillance applications, and in other types of  
10 video conferencing applications, e.g., in applications involving congress-like seating arrangements, circular or rectangular table arrangements, etc.

More generally, the portion 24 of system 10 can be used in any application that can benefit from the improved tracking function  
5 provided by a combined audio-video tracking system in accordance with the invention. The portion 26 of the system 10 may therefore be replaced with, e.g., other video conferencing arrangements, video surveillance arrangements, or any other arrangement of one or more objects of interest to be tracked using the portion 24 of the  
20 system 10.

It should be noted that the invention can be used with image  
capture devices other than PTZ cameras. The term "camera" as used  
herein is therefore intended to include any type of image capture  
device which can be used in conjunction with a combined audio-video  
25 tracking system.

It should also be noted that elements or groups of elements of the system 10 may represent corresponding elements of an otherwise conventional desktop or portable computer, as well as portions or combinations of these and other processing devices. Moreover, in  
30 other embodiments of the invention, some or all of the functions of the processor 12, controller 16 or other elements of the system 10 may be combined into a single device. For example, one or more of the elements of system 10 may be implemented as an application

specific integrated circuit (ASIC) or circuit card to be incorporated into a computer, television, set-top box or other processing device.

The term "processor" as used herein is intended to include a microprocessor, central processing unit, microcontroller or any other data processing element that may be utilized in a given data processing device. The memory 14 may represent an electronic memory, an optical or magnetic disk-based memory, a tape-based memory, as well as combinations or portions of these and other types of storage devices.

The present invention in the illustrative embodiment provides techniques which utilize combinations of audio and video information to track moving persons or other objects of interest in video conferencing and other applications.

FIG. 2 shows a more detailed view of the camera 18 in the illustrative embodiment. The camera 18 includes a base 30 and an arm 32 which supports a movable imaging device 34. Incorporated into the base 30 are a pair of microphones 35-1 and 35-2. An additional microphone 35-3 is supported above the imaging device 34 by an arm 36. The microphones 35-1 and 35-2 are located approximately 12 centimeters apart, and the microphone 35-3 is located approximately 12 centimeters above the base 30. It should be emphasized that the particular number and arrangement of the microphones in the illustrative embodiment are by way of example only, and should not be construed as limiting the scope of the present invention in any way.

As previously indicated, a video signal from the camera 18 and audio signals from the microphones 35-1, 35-2 and 35-3 associated therewith may be supplied to processor 12 or other elements of system 10 via the I/O device 15.

FIG. 3 shows a functional block diagram of an audio-video tracking system 100 that may be implemented in the processing system 10 of FIG. 1 in the illustrative embodiment of the



invention. The audio-video tracking system 100 includes an audio locator 102, a video locator 104, and a set of heuristic rules 106. The audio locator 102 receives one or more audio inputs from the camera 18, e.g., audio inputs from each of the microphones 35-1, 35-2 and 35-3 of camera 18. The video locator 104 receives one or more video inputs from the camera 18.

The audio locator 102 and video locator 104 provide audio tracking and video tracking functions, respectively. The audio locator 102 may be of a type described in U.S. Patent Application Serial No. 09/436,193, filed November 8, 1999 in the name of inventors Harm J. Belt and Cornelis P. Janse and entitled "Improved Signal Localization Arrangement," which is incorporated by reference herein. Such an audio locator can generate as a tracking output a direction indicator which can be used to discriminate between speakers, e.g., as a byproduct of echo cancellation. Other types of audio locators may also be used in implementing the present invention.

The video locator 104 may be any of a variety of well-known conventional systems capable of tracking persons or other objects of interest in a video signal or other type of image signal.

In accordance with the invention, the audio locator 102 and video locator 104 are each configured to generate a confidence indicator in each of a number of measurement intervals, the confidence indicators reflecting the confidence of the respective audio and video locators in detecting audio and video of a particular designated type. The confidence indicators and corresponding audio and video location measures are processed using the set of heuristic rules 106, so as to generate one or more control signals for controlling the pan, tilt and/or zoom settings of the camera 18.

The audio locator 102, video locator 104 and heuristic rules 106 may be implemented in software running on the processing system 10. For example, the system 10 may include an SGI Octane computer

equipped with dual R10000 processors running one or more software elements of the audio-video tracking system 100. Of course, many other types of hardware platforms may be used to implement the audio-video tracking system 10 in accordance with the techniques of the present invention.

FIG. 4 is a flow diagram illustrating a generalized audio-video tracking process that may be carried out by the audio-video tracking system 100 of FIG. 3. It is assumed for this example that the audio tracking provided by the audio locator 102 and the video tracking provided by the video locator 104 always remain active during a given video conference.

Step 200 indicates that at designated measurement intervals, an attempt is made by the tracking system 100 to update the pan, tilt and/or zoom settings of the camera 18. The designated measurement intervals may be periodic, e.g., every 5 seconds. During a given measurement interval, both the audio locator 102 and the video locator 104 each generate a tracking output as well as a corresponding confidence indicator, as shown in step 202.

The tracking outputs from the audio locator 102 and video locator 104 may be in the form of, e.g., a directionality measure in degrees indicating a direction from a central axis of the camera 18 to a detected speaker. Other types of directionality measures or tracking outputs may also be used.

The confidence indicator generated by the audio locator 102 may indicate, e.g., how certain the audio locator 102 is to have "heard" a speaker, and may also include an indication of the location associated with that speaker. The confidence indicator generated by the video locator 104 may indicate, e.g., how certain the video locator 104 is to have "seen" a face, and may also include an indication as to the size of the face within the video input. Other types of confidence measures can also be used.

A determination is made in step 204 as to whether the audio locator tracking output is sufficiently close to the video locator

tracking output. For example, step 204 may determine if directionality measures from audio locator 102 and video locator 104 are within a specified range of one another, e.g., within 5 degrees of one another. This indicates that the audio locator 102 and video locator 104 are sufficiently in agreement as to the location of the current speaker.

If step 204 indicates that the tracking outputs of the audio locator 102 and video locator 104 are not sufficiently close, the output of the audio locator 102 is used to adjust the camera settings, as indicated in step 206.

Step 206 may include an additional check performed prior to any adjustment in the camera setting, in order to determine if the confidence measure of the audio locator 102 is above a specified threshold. For example, if the confidence measure of the audio locator 102 is not above the specified threshold, indicating that speaker location cannot be determined with sufficient accuracy in the current measurement interval, step 206 may not make any adjustment to the camera settings, and the process will return to step 200 to await the next measurement interval.

In step 206, a high audio locator confidence level may result in a small position adjustment and possibly to further zooming out of the camera. More detailed examples of the manner in which the camera settings may be adjusted based on the audio locator output in accordance with the set of heuristic rules 106 will be described below.

If the tracking outputs of the audio locator 102 and video locator 104 are sufficiently close, the process in step 208 determines if the video locator confidence indicator is greater than a specified threshold.

The specified threshold in step 208 may, but need not, be the same as the above-noted audio locator confidence indicator threshold. If the video locator confidence interval is above the threshold, the output of the video locator 104 is used to adjust

the camera settings, as indicated in step 210. For example, a high video locator confidence level may result in a small position adjustment and possibly to further zooming in of the camera. A more detailed example of the manner in which the camera settings may be adjusted based on the video locator output in accordance with the set of heuristic rules 106 will be described below. If the video locator confidence indicator is not above the specified threshold, the process returns to step 206, such that the audio locator tracking output is used to adjust the camera settings, assuming the corresponding audio confidence indicator is above its specified threshold.

The steps 204 through 210 of the FIG. 4 flow diagram represent a simple example of a set of heuristic rules 106 that may be used in the audio-video tracking system of FIG. 3. A more detailed example of a set of heuristic rules 106 in accordance with the invention will be described below, along with specific examples of the manner in which the outputs of the audio locator 102 and video locator 104 may be used to generate one or more control signals for controlling the pan, tilt and zoom settings of the camera 18.

The set of rules 106 in the following example is designed to provide automated control of the camera 18 in a manner which preserves the desirable control functions generally provided by a skilled human cameramen.

The above-described illustrative embodiment of the audio-video tracking system 100 operating in conjunction with the camera 18 can generally determine the direction to the current speaker, provided it can eliminate false events, i.e., events which direct it toward unwanted objects. These false events may include, e.g., local stationary noise, such as that generated by air conditioning; local non-speech noise, such as from papers being shuffled; sound made by the motion of the camera itself; and sound made by the remote participants, coming through the system loudspeakers.

The audio locator 102 is also preferably of a type, such as that described in the above-cited U.S. Patent Application Serial No. 09/436,193, which is able to locate the loudest person if several people speak at the same time.

5       The heuristic rules 106 of the audio-video tracking system 100 in the present example are configured to discriminate between "same speaker" and "new speaker." When the audio locator output indicates that sustained speech is coming from the same direction for a designated minimum time period of duration  $t$ , which may be on the  
10       order of 5 seconds, the system 100 assumes that the same speaker is still active. When sustained speech comes from a new direction during the  $t$  second time period, the system assumes that a new speaker has started speaking. These rules prevent a participant who utters non-intentional speech (e.g., "aha" to agree with the  
15       speaker) or short intentional speech (e.g., an interruption, such as "are you sure?") from being considered a new speaker. Reactions to such short utterances would generally lead to frantic camera movements, and the heuristic rules 106 are thus designed to avoid such movements.

20       When a new speaker is detected, the audio-video tracking system 100 generates a control signal directing the camera 18 to zoom out by 20% and to turn immediately to the direction of the new  
25       speaker at full speed. The video is not switched off during the motion, such that the resulting video output is in the form of a pan.

      Detection of the same speaker, at most every  $t$  seconds, can trigger a video-based action as follows. The video locator 104 continuously tries to find a face in the incoming video stream, using well-known conventional techniques based on features such as  
30       motion and face color. As most people move their heads considerably while talking, the system 100 assumes that the person in view who is moving is the speaker. If the video locator 104 has built up enough confidence during the last  $t$  seconds to know where the face

is, the system 100 will generate a control signal directing the camera 18 to slowly pan so as to put the chin of the face near the middle of the picture. It will then zoom in until the head has a predefined size, such as, e.g., 35% of the screen height. To avoid  
5 visual distraction, the system 100 may be configured such that zoom adjustments occur only every t seconds and are never bigger than about 20%.

The set of heuristic rules 106 in the present example also includes equally important rules for when not to track. For  
10 example, a sustained close-up of a participant who is listening is generally very uncomfortable for that participant. Therefore, the rules 106 may include a rule to the effect that all tracking stops when no one speaks locally or when a participant at the remote end speaks, and these two conditions may be considered equivalent.

More specifically, the system 100 may, e.g., first generate a  
15 control signal directing the camera 18 to zoom out 20% after t seconds of silence, and then generate a control signal directing the camera 18 to zoom out fully to provide a group view after 30 seconds of silence. More complex rules may be used that involve  
20 intermediary steps when changing speaker or attempt to keep two often-alternating speakers in view.

It should be noted that a typical audio locator suitable for  
use in the system 100 may have an error of around 5 degrees, and may also be susceptible to sound reflections, e.g., small head  
25 movements may lead to quite different audio directions. The video locator output may also have large variations depending on how well the motion silhouette of the speaker was determined. Therefore, in order to prevent the video locator 104 from locking onto the wrong person (e.g., an agitated participant near to a still speaker), the  
30 system 100 may be configured to compare constantly the audio locator output with the video locator output. If their directions become too divergent, the system 100 generates a control signal

directing the camera 18 to zoom out, and then restarts the tracking operation based on the audio direction.

The audio-video tracking system of the present invention provides a number of advantages over conventional systems. For example, in contrast to audio-only trackers, the system of the invention is substantially less likely to zoom in to irrelevant objects. It avoids the need for a local participant to control the camera manually, and makes the local participants more aware of the manner in which their actions control the direction of the camera. More particularly, participants using the system of the invention will quickly learn how to attract the attention of the camera, e.g., raising their voices, talking directly to the camera ("Come to me, camera"), or making small motions to encourage the camera to zoom. The autonomously-moving camera effectively becomes the moderator of the video conference, i.e., it decides who is in the picture and who is not.

The above-described embodiments of the invention are intended to be illustrative only. For example, the invention can be used to implement real-time detection and tracking of any desired object of interest, and in a wide variety of applications, including video conferencing systems, video surveillance systems, and other camera-based systems. As previously noted, the invention can also be implemented at least in part in the form of one or more software programs which are stored on an electronic, magnetic or optical storage medium and executed by a processing device or set of processing devices, e.g., by the processor 12 of system 10. These and numerous other embodiments within the scope of the following claims will be apparent to those skilled in the art.

## Claims

What is claimed is:

1. A method for tracking an object of interest in a video processing system, the method comprising the steps of:

5       generating for a given measurement interval an audio locator output and a video locator output, each indicative of a location of the object of interest;

10       applying a set of rules to determine a manner in which at least one of the audio locator output and the video locator output will be utilized to adjust a setting of the camera based on the given measurement interval; and

      adjusting the camera setting in accordance with the determined manner of utilization.

15       2. The method of claim 1 wherein the object of interest comprises a moving person.

20       3. The method of claim 1 wherein the camera is a pan-tilt-zoom (PTZ) camera having adjustable pan, tilt and zoom settings.

25       4. The method of claim 1 wherein the set of rules includes determining if the audio locator and video locator outputs are sufficiently close for the given measurement interval, and utilizing only the audio locator output to adjust the camera setting if the audio and video locator outputs are not within a specified range of one another for the given measurement interval.

30       5. The method of claim 4 wherein the set of rules further includes utilizing the video locator output to adjust the camera setting only if the audio and video locator outputs are within a specified range of one another for the given measurement interval.



6. The method of claim 5 wherein the set of rules further includes determining if a confidence indicator associated with the video locator output is above a specified video locator threshold for the given measurement interval, and utilizing the video locator  
5 output to adjust the camera setting only if the video locator confidence indicator is above the video locator threshold for the given measurement interval.

7. The method of claim 1 wherein the set of rules includes  
10 determining based on the audio locator output if the object of interest corresponds to a new speaker in a multiple-participant system, and if a new speaker is detected, directing the camera to zoom out by a predetermined amount and to turn in a direction of the new speaker.

8. The method of claim 1 wherein the set of rules includes  
15 determining based on the audio locator output if the object of interest corresponds to a same speaker in a multiple-participant system, and if a same speaker is detected, utilizing the video  
20 locator output to adjust the camera setting so as place the same speaker at a designated position within one or more video frames generated by the camera.

9. The method of claim 8 wherein the set of rules further  
25 includes adjusting a zoom setting of the camera until a head of the identified same speaker occupies a designated portion of a given one of the one or more video frames generated by the camera.

10. The method of claim 1 wherein the set of rules specifies  
30 that the camera is zoomed out by a predetermined amount after a detected period of continued silence exceeds a first amount of time.

11. The method of claim 10 wherein the set of rules further specifies that the camera is zoomed out by an additional amount if the detected period of continued silence exceeds a second amount of time greater than the first amount of time.

5

12. An apparatus for tracking an object of interest in a video processing system, the apparatus comprising:

a camera; and

10 a processor coupled to the camera and operative (i) to process an audio locator output and a video locator output, each indicative of a location of the object of interest for a given measurement interval; and (ii) to apply a set of rules to determine a manner in which at least one of the audio locator output and the video locator output will be utilized to adjust a setting of the  
15 camera based on the given measurement interval, such that the camera setting is adjusted in accordance with the determined manner of utilization.

13. The apparatus of claim 12 wherein the object of interest  
20 comprises a moving person.

14. The apparatus of claim 12 wherein the camera is a pan-tilt-zoom (PTZ) camera having adjustable pan, tilt and zoom settings.

25

15. The apparatus of claim 12 wherein the set of rules includes determining if the audio locator and video locator outputs are sufficiently close for the given measurement interval, and utilizing only the audio locator output to adjust the camera  
30 setting if the audio and video locator outputs are not within a specified range of one another for the given measurement interval.

16. The apparatus of claim 15 wherein the set of rules further includes utilizing the video locator output to adjust the camera setting only if the audio and video locator outputs are within a specified range of one another for the given measurement interval.

17. The apparatus of claim 16 wherein the set of rules further includes determining if a confidence indicator associated with the video locator output is above a specified video locator threshold for the given measurement interval, and utilizing the video locator output to adjust the camera setting only if the video locator confidence indicator is above the video locator threshold for the given measurement interval.

18. The apparatus of claim 12 wherein the set of rules includes determining based on the audio locator output if the object of interest corresponds to a new speaker in a multiple-participant system, and if a new speaker is detected, directing the camera to zoom out by a predetermined amount and to turn in a direction of the new speaker.

19. The apparatus of claim 12 wherein the set of rules includes determining based on the audio locator output if the object of interest corresponds to a same speaker in a multiple-participant system, and if a same speaker is detected, utilizing the video locator output to adjust the camera setting so as place the same speaker at a designated position within one or more video frames generated by the camera.

20. The apparatus of claim 19 wherein the set of rules further includes adjusting a zoom setting of the camera until a head of the identified same speaker occupies a designated portion of a given one of the one or more video frames.

21. The apparatus of claim 12 wherein the set of rules specifies that the camera is zoomed out by a predetermined amount after a detected period of continued silence exceeds a first amount  
5 of time.

22. The apparatus of claim 21 wherein the set of rules further specifies that the camera is zoomed out by an additional amount if the detected period of continued silence exceeds a second  
10 amount of time greater than the first amount of time.

23. An article of manufacture comprising a storage medium for storing one or more programs for tracking an object of interest in a video processing system, wherein the one or more programs when  
15 executed by a processor implement the steps of:

generating for a given measurement interval an audio locator output and a video locator output, each indicative of a location of the object of interest;

20 applying a set of rules to determine a manner in which at least one of the audio locator output and the video locator output will be utilized to adjust a setting of the camera based on the given measurement interval; and

adjusting the camera setting in accordance with the determined manner of utilization.

### Abstract

A video processing system tracks a moving person or other object of interest using a combined audio-video tracking system. The audio-video tracking system comprises an audio locator, a video locator, and a set of rules for determining the manner in which settings of a camera are adjusted based on outputs of the audio locator and video locator. The set of rules may be configured such that only the audio locator output is used to adjust the camera settings if the audio locator and video locator outputs are not sufficiently close and a confidence indicator generated by the audio locator is above a specified threshold. For example, in such a situation, the audio locator output alone may be used to direct the camera to a new speaker in a video conference. If the audio locator and video locator outputs are sufficiently close, the system determines if a confidence indicator generated by the video locator is above a specified level, and if so, the video locator output may be used to adjust the camera settings. For example, the camera may be zoomed in such that the face of a video conference participant is centered in and occupies a designated portion of a video frame generated by the camera.

SEE FIG. 2

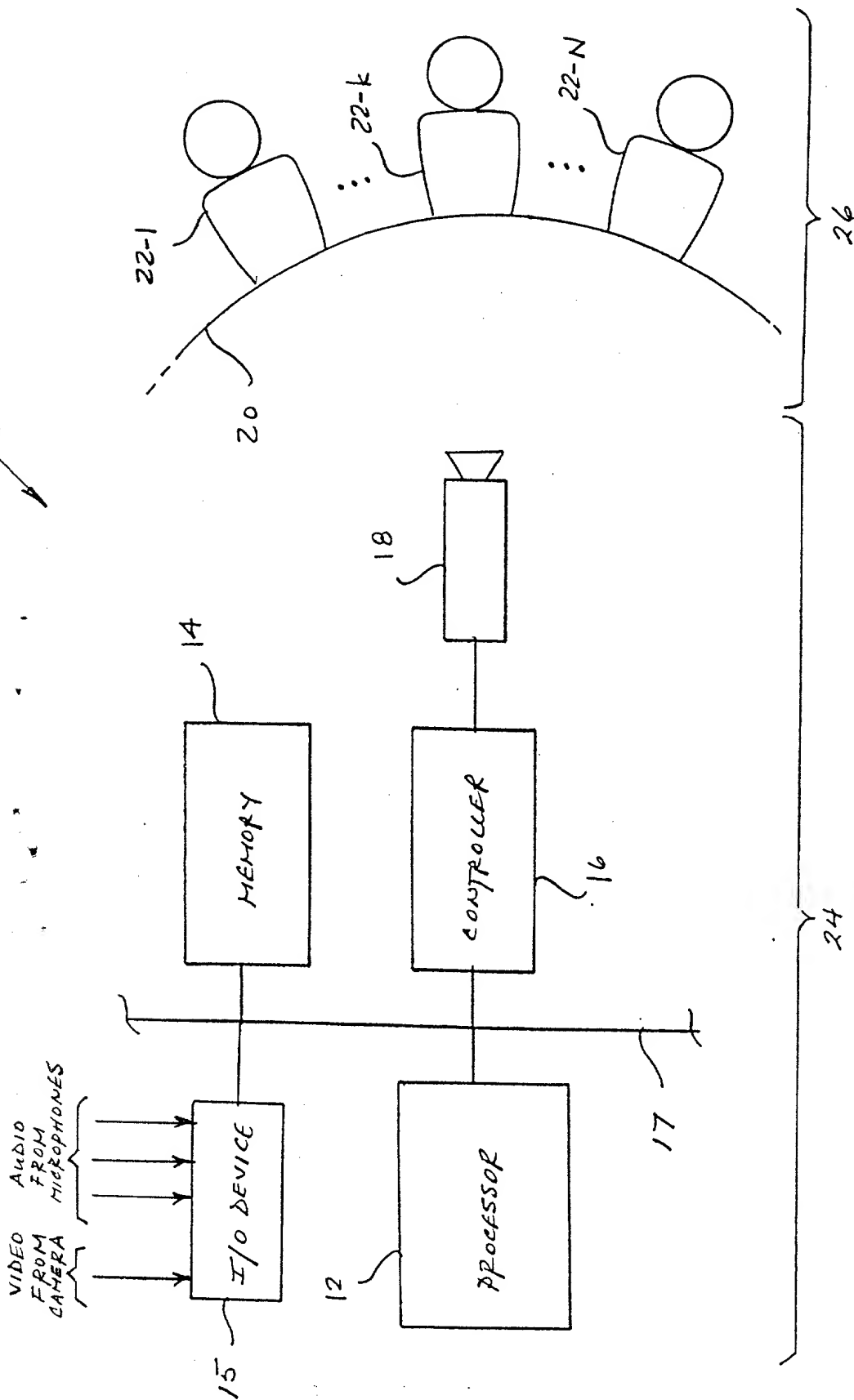


FIG. 1

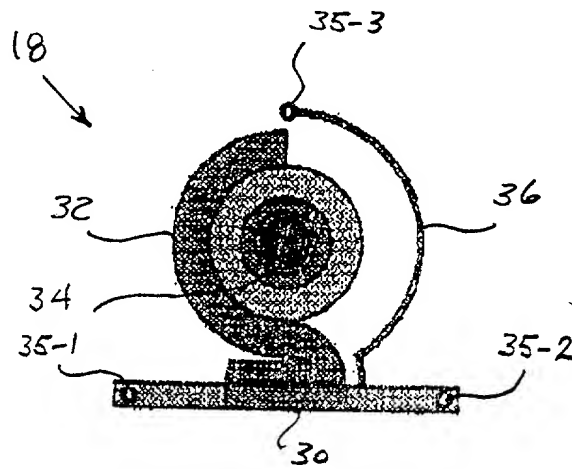


FIG. 2

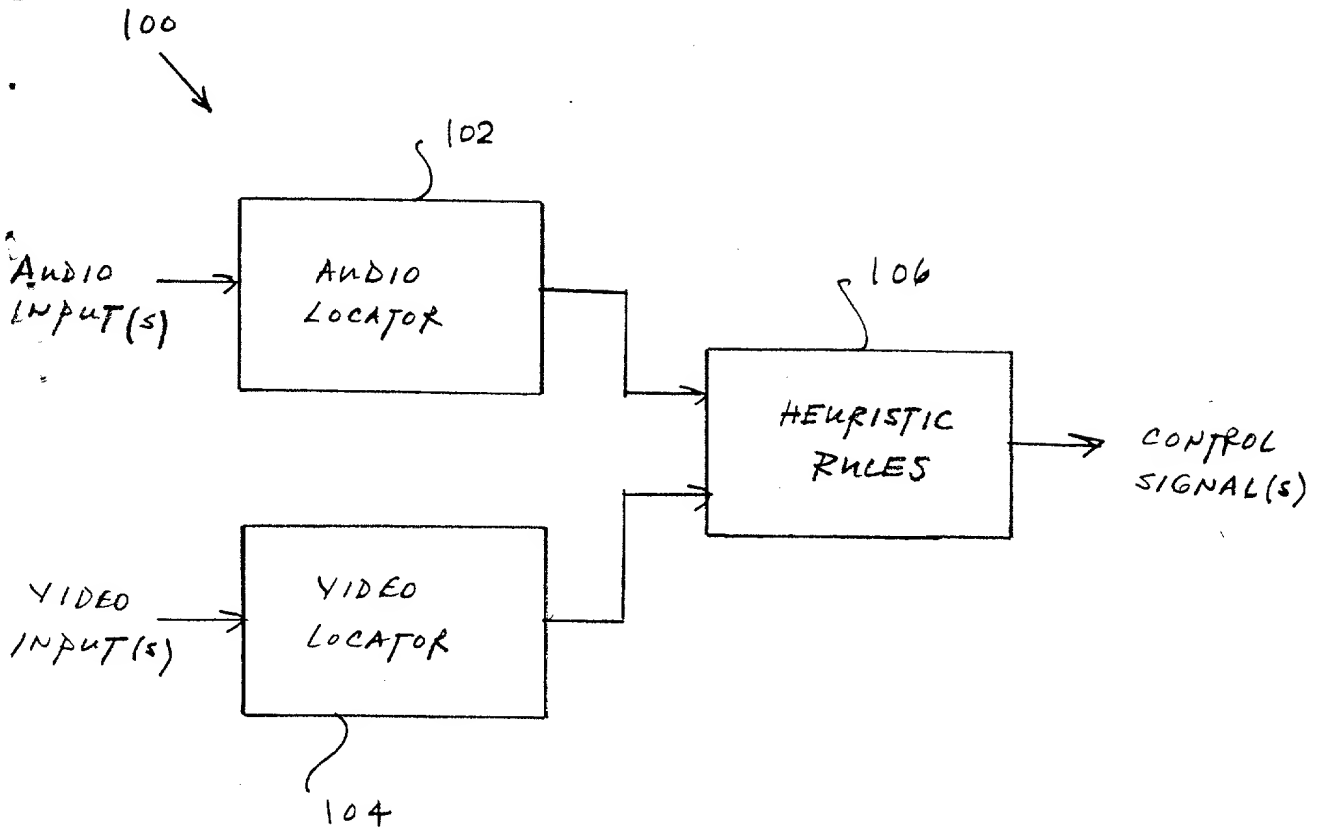


FIG. 3

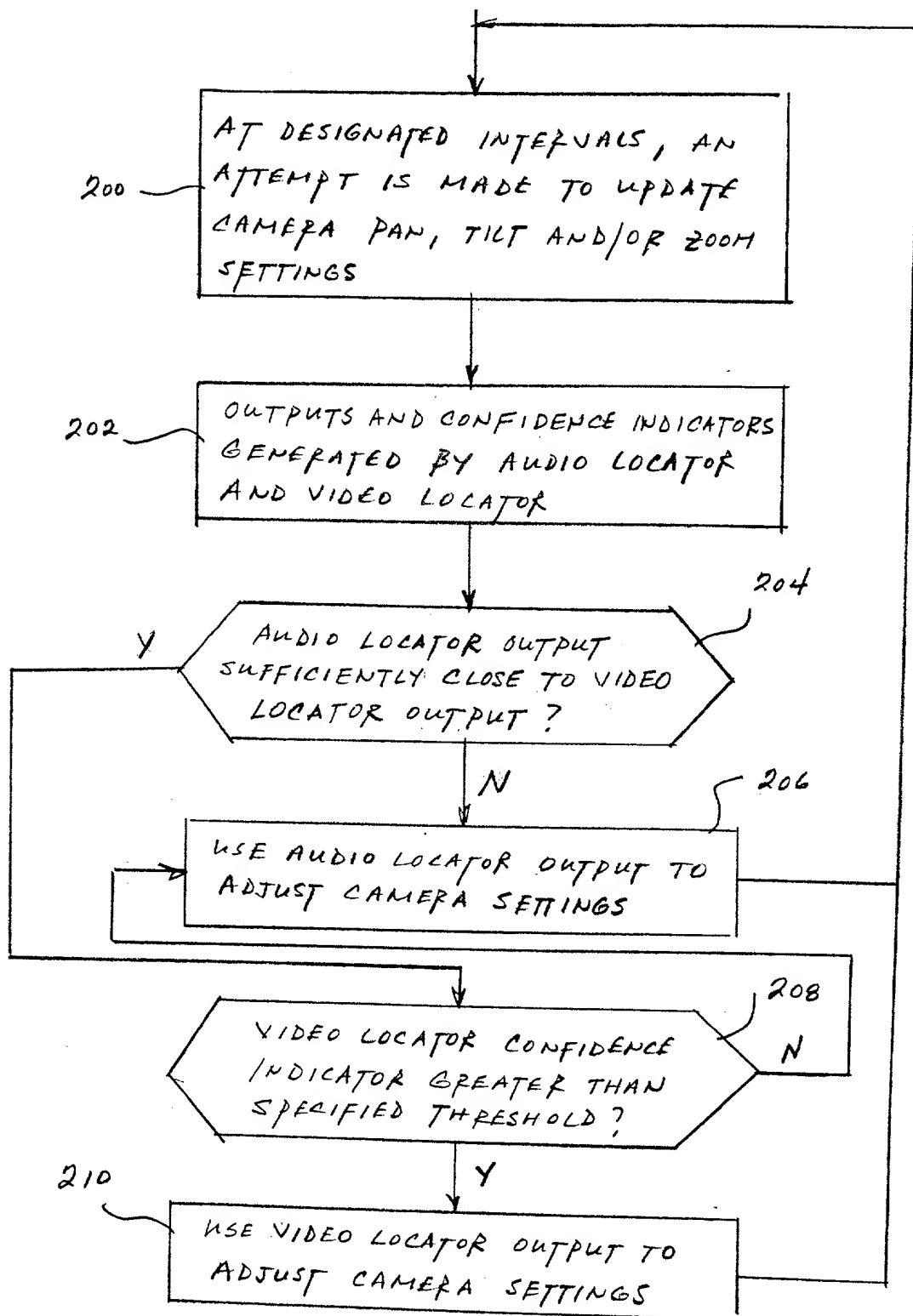


FIG. 4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

HUGO J. STRUBBE ET AL

US000103

Serial No.

Filed: CONCURRENTLY

Title: METHOD AND APPARATUS FOR TRACKING MOVING OBJECTS USING  
COMBINED VIDEO AND AUDIO INFORMATION IN VIDEO CONFERENCING AND  
OTHER APPLICATIONS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

Sir:

The undersigned Attorney of Record hereby revokes all  
prior appointments (if any) of Associate Attorney(s) or Agent(s) in  
the above-captioned case and appoints:

**GREGORY L. THORNE**

(Registration No. 39,398)

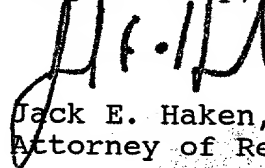
**MICHAEL E. MARION**

(Registration No. 32,266)

c/o U.S. PHILIPS CORPORATION, Intellectual Property Department, 580  
White Plains Road, Tarrytown, New York 10591, his Associate  
Attorney(s)/Agent(s) with all the usual powers to prosecute the  
above-identified application and any division or continuation  
thereof, to make alterations and amendments therein, and to  
transact all business in the Patent and Trademark Office connected  
therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE  
LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED  
ATTORNEY OF RECORD.

Respectfully,



Jack E. Haken, Reg. 26,902  
Attorney of Record

Dated at Tarrytown, New York  
this 13<sup>th</sup> day of April, 2000.

## DECLARATION and POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Method and Apparatus for Tracking Moving Objects Using Combined Video and Audio Information in Video Conferencing and Other Applications

the specification of which (check one)

☒ is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulation, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (DAY, MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application (s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

### PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

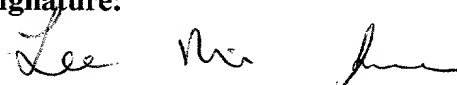
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Algy Tamoshunas, Reg. No. 27,677

Jack E. Haken, Reg. No. 26,902

SEND CORRESPONDENCE TO: Corporate Patent Counsel; U.S. Philips Corporation; 580 White Plains Road; Tarrytown, NY 10591	DIRECT TELEPHONE CALLS TO: Gregory L. Thorne (914) 333-9665
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